

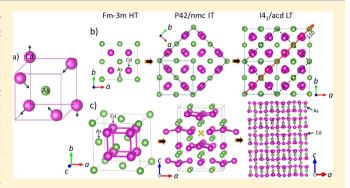


The Crystal and Electronic Structures of Cd₃As₂, the Three-**Dimensional Electronic Analogue of Graphene**

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Supporting Information

ABSTRACT: The structure of Cd₃As₂, a high-mobility semimetal reported to host electrons that act as Dirac particles, is reinvestigated by single-crystal X-ray diffraction. It is found to be centrosymmetric rather than noncentrosymmetric as previously reported. It has a distorted superstructure of the antifluorite (M2X) structure type with a tetragonal unit cell of a = 12.633(3) and c = 25.427(7) Å in the centrosymmetric I4₁/acd space group. The antifluorite superstructure can be envisioned as consisting of distorted $Cd_6\square_2$ cubes (where \square = an empty cube vertex) in parallel columns, stacked with opposing chirality. Electronic structure calculations performed using the experimentally determined centrosymmetric structure are similar to those performed



with the inversion symmetry absent but with the important implication that Cd₂As₂ is a three-dimensional (3D)-Dirac semimetal with no spin splitting; all bands are spin degenerate and there is a 4-fold degenerate bulk Dirac point at the Fermi energy along Γ -Z in the Brillouin zone. This makes Cd_3As_2 a 3D electronic analogue of graphene. Scanning tunneling microscopy experiments identify a 2 × 2 surface reconstruction in the (112) cleavage plane of single crystals; needle crystals grow with a [110] long axis direction.

■ INTRODUCTION

The topology-dependent electronic properties of solids are the subject of considerable current research. Although theoretical and experimental exploration of the electronic implications of topology fall clearly in the realm of materials physics, the compounds of interest for displaying those properties have a well-defined set of chemical characteristics, including constituent elements with similar electronegativities and strong spinorbit coupling (SOC); crystal symmetry also plays a critical role.2 The fact that such properties are in many cases predictable by theory has generated a wide interest in finding compounds that display those properties. Although the edges and surfaces of crystals and extremely thin crystals such as graphene might reasonably be expected to display electronic properties dependent on their topology,^{3–5} there are also cases where topological properties have been predicted for electrons within bulk three-dimensional (3D) crystals.^{6,7} Such is the case for the recently emergent compounds Na₃Bi and Cd₃As₂, where early characterization of the real materials indicates that this may indeed be the case. $^{8-10}$ One important prediction within this category is that in some cases the electrons will behave like they obey the "Weyl Hamiltonian," a previously unobserved electronic state. 11,12 In this instance the presence or absence of a center of symmetry in the host crystal is a critical structural characteristic for the stability of the electronic phase.

Cd₃As₂ has been well-studied in the past¹³⁻¹⁵ but not in this context. Of particular interest have been its semimetallic character and very high electron mobility.¹⁴ It is also of interest for solar cells and as an analogue for graphene in exploratory device applications. ^{16,17} Theoretical work on the electronic structure of Cd₃As₂ has been done, but the calculations were performed using either the primitive tetragonal (P42/nmc) intermediate-temperature structure (475-600 °C)¹⁸ or the noncentrosymmetric low-temperature (below 475 °C) structure 19 (I41cd) proposed by Steigmann and Goodyear. 20 The primitive tetragonal structure (1935) (a = 8.95 Å, c =12.65 Å) has As in a face-centered cubic (FCC) array, with six Cd in fluorite-like positions; two of the eight vertices of the distorted cube are fully empty. The empty vertices lie diagonally opposite each other in one face of the cube and are ordered in a two-dimensional (2D) array. The lowtemperature structure (1968) has a larger unit cell (a = 12.67 Å, c = 25.48 Å) where the empty cube vertices order in a 3D rather than a 2D array. Recent theoretical calculations performed with the reported noncentrosymmetric low temperature I4₁cd structure²⁰ indicate that Cd₃As₂ may be a new type of 3D-Dirac semimetal in part due to the lack of inversion symmetry, which causes the lifting of the spin degeneracy of

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certain bands in the vicinity of the Dirac point, raising the possibility that Cd₃As₂ may be an example of a Weyl semimetal. Thus the presence or absence of inversion symmetry has important implications for the electronic properties of Cd₃As₂.

Here we re-examine the crystal structure of Cd₂As₂ using current single-crystal X-ray diffraction (SXRD) and analysis methods. We also identify the growth direction of needle crystals and the cleavage plane as the [110] and (112), respectively. Through the use of scanning tunneling microscopy (STM) experiments, we identify a 2×2 surface reconstruction of the (112) plane cleavage surface. We find that earlier researchers failed to appreciate the near-centrosymmetricity of their reported structure and that Cd₃As₂ in its low-temperature phase is in fact centrosymmetric, with the space group I4₁/acd. The higher symmetry forbids any spin splitting and keeps all bands at least 2-fold degenerate; this has significant implications for the behavior of the electrons near the Fermi energy $(E_{\rm E})$. The inversion symmetry constrains Cd₃As₂ to be a nonspinpolarized 3D-Dirac semimetal, which therefore implies that Cd₃As₂ is a 3D analogue of graphene, where the electronic states are also nonspin-polarized.

EXPERIMENTAL SECTION

Silvery, metallic-colored single crystals of Cd₃As₂ were grown out of a Cd-rich melt with the stoichiometry of (Cd₅)Cd₃As₂. The elements were sealed in an evacuated quartz ampule, heated to 825 °C, and kept there for 48 h. The sample was then cooled at a rate of 6 °C per hour to 425 °C and was subsequently centrifuged. Only Cd₃As₂ crystals were formed, with a crystal to flux ratio of 1:5, consistent with the equilibrium phase diagram.²¹ Chemical analysis of the crystals was performed in an FEI Quanta 200 FEG Environmental-SEM by energydispersive X-ray analysis (EDX), which found them to have a Cd/As ratio of 1.500(1):1. Powder X-ray diffraction (PXRD) patterns were collected using Cu K\alpha radiation on a Bruker D8 Focus diffractometer with a graphite monochromator on ground single crystals to confirm the identity of the compound as being Cd₃As₂ in the low-temperature structure. The single-crystal X-ray diffraction study was performed on a 0.04 mm × 0.04 mm × 0.4 mm crystal on a Bruker APEX II diffractometer using Mo K α radiation (λ = 0.710 73 Å) at 100 K. Exposure time was 35 s with a detector distance of 60 mm. Unit cell refinement and data integration were performed with Bruker APEX2 software. A total of 1464 frames were collected over a total exposure time of 14.5 h, and 21 702 diffracted peak observations were made, yielding 1264 unique observed reflections (centrosymmetric scaling) collected over a full sphere. No diffuse scattering was observed. The crystal structure was refined using the full-matrix least-squares method on F2, using SHELXL2013 implemented through WinGX. An absorption correction was applied using the analytical method of Blessing²² implemented through SADABS.²³ The crystal surfaces were studied with a home-built cryogenic scanning tunneling microscope at 2 K. No twinning was observed in either the STM or single-crystal diffraction measurements. Electronic structure calculations were performed in the framework of density functional theory (DFT) using the Wien2k code²⁴ with a full-potential linearized augmented plane-wave and local orbitals basis together with the Perdew-Burke-Ernzerhof parametrization of the generalized gradient approximation.²⁵ The plane-wave cutoff parameter RMTKmax was set to 7, and the Brillouin zone (BZ) was sampled by 250 k-points. The experimentally determined structure was used, and SOC was included.

RESULTS AND DISCUSSION

X-ray Diffraction. From SXRD, a body-centered tetragonal unit cell of a = 12.633(3) and c = 25.427(7) was found, matching PXRD measurements. The systematic absences of the reflections in the SXRD reciprocal lattice are consistent with the possible space groups $I4_1cd$ and $I4_1/acd$ as previously

described.¹⁹ It has previously been theorized that Cd_3As_2 has the ideal Mn_7SiO_{12} structure type in the $I4_1/acd$ space group, ²⁶ but no experimentally determined atomic positions were reported. Here, initial refinements in setting 2 of the centrosymmetric space group $I4_1/acd$ were performed with all atoms on the idealized positions of the Mn_7SiO_{12} structure type. This idealized model gave very poor fits to the data, with R1 only falling to 60%. It was clear from analysis of the electron density maps that the atoms are in fact not at the ideal positions. In the final refinement, atomic positions as well as anisotropic thermal parameters for all atoms were allowed to vary freely. The refinement results are summarized in Table 1.

Table 1. Refinement Parameters for Cd₃As₂

phase	Cd_3As_2		
symmetry	tetragonal, I4 ₁ /acd (No. 142)		
cell parameters (Å)	a = b = 12.633(3), c = 25.427(7)		
	$\alpha = \beta = \gamma = 90^{\circ}$		
wavelength (Å)	Mo K α λ = 0.710 73		
temperature (K)	100		
V (Å ³)	4058.0(2)		
Z	32		
calculated density (g/cm ³)	6.38		
formula weight (g/mol)	487.1		
absorption coefficient (mm ⁻¹)	25.22		
observations	21 702		
F000	6720		
data/restraints/parameters	1264/0/48		
R1 (all reflections)	0.0480		
R1 Fo > $4\sigma(Fo)$	0.0220 (893)		
wR2	0.0401 (1264)		
$R_{ m int}/R(\sigma)$	0.0617/0.0238		
difference e ⁻ density (e/ų)	1.24/-1.25		
GOF	1.040		

Table 2 lists final positions for all atoms, and Table 3 lists the Cd—As bond lengths. Refined atomic displacement parameters may be found in the CIF file (see Supporting Information).

Table 2. Refined Atomic Positions for Cd₃As₂ in Space Group I4₁/acd

atom	Wyckoff	x	у	z
Cd1	32g	0.13951(3)	0.36959(3)	0.05249(2)
Cd2	32g	0.11169(3)	0.64224(3)	0.07250(1)
Cd3	32g	0.11879(3)	0.10610(3)	0.06251(2)
As1	32g	0.24597(4)	0.25789(5)	0.12315(2)
As2	16d	0	1/4	0.99927(3)
As3	16e	1/4	0.51076(7)	0

Resolving the ambiguity between a centrosymmetric crystal structure and a noncentrosymmetric crystal structure that is nearly centrosymmetric can sometimes be difficult, and this is a well-known problem in crystallography. Unless a clear choice can be made in favor of the noncentrosymmetric model, structures must be described centrosymmetrically. The authors of the previous noncentrosymmetric structure report did not appreciate the fact that an alternative origin choice would allow for a centrosymmetric structure and thus did not check a centrosymmetric model against their observed intensities, which were estimated from exposed film densities. To compare a noncentrosymmetric model for Cd₃As₂ to the

Table 3. Bond Lengths in Cd₃As₂

atom1	atom2	distance, Å	atom1	atom2	distance, Å
As1	Cd3	2.6268(8)	Cd1	As3	2.6287(8)
	Cd2	2.6508(8)		As1	2.6510(8)
	Cd1	2.6510(8)		As2	2.6870(7)
	Cd2	2.6541(8)		As1	2.9814(8)
	Cd3	2.9387(8)			
	Cd1	2.9814(8)			
As2	Cd2	2.6784(8)	Cd2	As1	2.6508(8)
	Cd2	2.6784(8)		As1	2.6541(8)
	Cd1	2.6870(7)		As2	2.6784(8)
	Cd1	2.6870(7)		As3	3.0348(8)
	Cd3	2.8535(7)			
	Cd3	2.8535(7)			
As3	Cd3	2.5932(7)	Cd3	As3	2.59392(7)
	Cd3	2.5932(7)		As1	2.6268(8)
	Cd1	2.6287(8)		As2	2.8535(7)
	Cd1	2.6287(8)		As1	2.9387(8)
	Cd2	3.0348(8)			
	Cd2	3.0348(8)			

centrosymmetric model, we carried out a refinement in the noncentrosymmetric I41cd space group, using the published model as a template. The final refinements (see Supporting Information) with atomic positions and anisotropic displacement parameters allowed to vary yielded a wR2 of 0.0489 for 2481 data (with noncentrosymmetric scaling) with 93 parameters and an R1 = 0.0265 for $1639 \text{ Fo} > 4F\sigma$. The centrosymmetric model displays significantly better R-values. The R1 value, for example, for all data for the I4₁/acd solution is 0.0481, while for the I41cd solution it is 0.0611. This is an improvement by a factor of 1.22 for the centrosymmetric structure. In addition, we used PLATON²⁹ to check for and detect missed symmetry.³⁰ The ADDSYM analysis (Le Page algorithm for missing symmetry) was used on the previously reported I41cd noncentrosymmetric structure. For that noncentrosymmetric model, PLATON detected a missing inversion center at (0, 1/4, 1/4) in the unit cell, recommended a 180° rotation around the c-axis followed by an origin shift to (0, -1/4, 1/4), and suggested the space group of I4₁/acd. Further, the deviations of the atoms in the noncentrosymmetric model from their equivalents in the centrosymmetric model are calculated and found to be very small (~ 0.03 Å, Supporting Information). Finally the Flack parameter (Supporting Information) indicated that the structure was not noncentrosymmetric (since no twinning was seen from SXRD or STM).³¹ This analysis thus further confirmed that the centrosymmetric crystal structure is the correct one.

Crystal Structure. Cd_3As_2 has different but related crystal structures as a function of temperature, which all can be considered defect antifluorite types; the Cd is distributed in the cube-shaped array occupied by F in CaF_2 , while the As is in the FCC positions that are occupied by Ca. Thus in Cd_3As_2 , the formally Cd^{2+} ions are four-coordinated by As, and the formally As^{3-} ions are eight-coordinated by Cd. With As^{3-} in VI-fold coordination expected to have a radius of about 2.22 Å and Cd^{2+} in IV-coordination expected to have a radius of about 0.92 Å, the ratio of $r_{Cd}^{2+}/r_{As}^{3-} = 0.41$, which is near the ideal 0.414 cutoff for tetrahedral coordination of the metal in the CaF_2 -type structure $(r_M/r_X = 0.15-0.414)$. Further, as evidenced by the stoichiometry, Cd_3As_2 is Cd-deficient of the ideal Cd_4As_2 antifluorite formula, missing one-fourth of the Cd atoms

needed to form a simple cube around the As. Thus the (distorted) cube can be written as $Cd_6\square_2$, where \square = an empty vertex. The As and Cd coordinations in our Cd_3As_2 structure are shown in Figure 1. In the ordered, lower-temperature

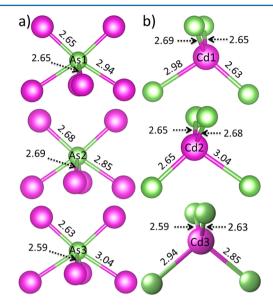


Figure 1. (a) The three coordination environments around the As atoms in Cd_3As_2 . Because of the two vacancies per As–Cd cube, the remaining Cd atoms shift toward the vacancies, resulting in different bond lengths. (b) The coordination of As around the Cd atoms, where the As form regular tetrahedra but the Cd atoms sit off-center.

variants, the Cd atoms shift from the ideal antifluorite positions toward the empty vertices of the cube (shown in Figure 2a). This distortion makes occupancy of the empty vertices highly energetically unfavored. At high temperatures (HT) (T > 600)°C) the Cd ions are disordered, 33 and so Cd₃As₂ adopts the ideal antifluorite space group $Fm\overline{3}m$ with a = 6.24 Å (the HT structure). Between 600 and 475 °C, the intermediate temperature (IT) P42/nmc structure is found, where the Cd ions order so that the empty vertices are located on diagonally opposite corners of one face of the $Cd_6\square_2$ cubes (see below). These cubes then stack so that the empty vertices form channels parallel to the a and b axes at different levels along the c axis.³³ On further cooling, another ordering scheme is found. Below 475 °C, the Cd atoms further order in a 3D fashion, such that each distorted $Cd_6\square_2$ cube stacks on top of the previous one after a 90° rotation (either clockwise or counterclockwise depending on the particular chain) about the stacking axis (parallel to the c-axis). This is the low-temperature (LT) centrosymmetric I4₁/acd structure of the crystals whose structure is determined here and whose physical properties are of current interest. This also appears to be a new structure type. Figure 2b,c shows how the three structures are related: the $P4_2/nmc$ structure is a supercell of the disordered $Fm\overline{3}m$ structure, and the $I4_1/acd$ structure is a supercell of the $P4_2/$ nmc structure.

The $I4_1/acd$ structure has three unique Cd atoms, three unique As atoms, and is schematically shown in Figure 3. Since the empty vertices of the $Cd_6\square_2$ cubes sit diagonally opposite each other in a face of the distorted cube, the incomplete Cd cube can be thought of as having only one "closed face." This closed face changes position in either a clockwise or counterclockwise fashion as the cubes stack along the c-

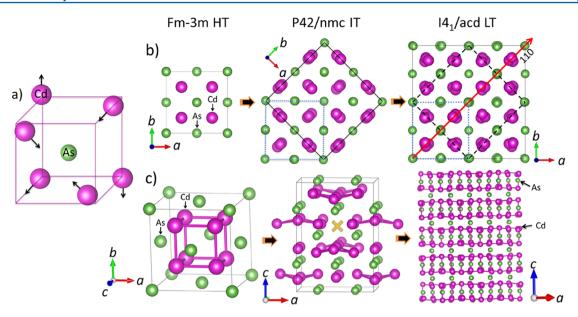


Figure 2. (a) Single As—Cd cube with the Cd atoms displaced toward the Cd vacancies, resulting in distorted cubes. These cubes stack in corkscrews along the c-axis in the LT form. (b) The relationship of the cubic Cd_3As_2 structure to the ordered lower-temperature derivatives. Left to right, the high temperature (HT) $Fm\overline{3}m$ structure, the intermediate temperature (IT) structure ($P4_2/nmc$), followed by the centrosymmetric $I4_1/acd$ low temperature (LT) structure. (c) The Cd cubes and their ordering for each structure. In the cubic phase, the Cd atoms are disordered over all of the pseudocube sites. In the $P4_2/nmc$ structure the Cd vacancy channels are shown running along the b-axis with the x-axis with the x-axis of vacancies are no longer present.

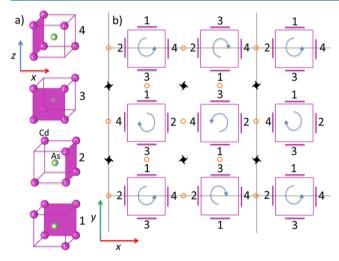


Figure 3. (a) As—Cd cubes with Cd vacancies diagonally opposite each other in one face of each cube, resulting in a single closed face of each cube (shaded). Stacking along the *c*-axis is shown with the numbers corresponding to the layer in which each cube sits. The pink and green spheres represent Cd and As, respectively. (b) Schematic diagram of the corkscrew stacking of As—Cd cubes; the *c*-axis is coming out of the page. The squares are the projection of the cubes looking down the *c*-axis, and the bolded lines with the numbers beside them represent the closed face of the cube and the layer in which it sits. Four layers of As—Cd cubes stack before the pattern repeats. Orange circles show the inversion centers, black spiral symbols show the screw axis, and the dotted black line is the unit cell.

direction, resulting in a screwing chain of cubes. These chains then align so that each chain is next to a chain of an opposite handedness; a right-handed chain is surrounded by left-handed chains. This results in an inversion center being present between each chain.

For physical property measurements, it is important to identify characteristic planes and directions in the as-grown crystals. Cd₃As₂ grown as described here forms both irregular and rod-like crystals, all of which appear to have planar pseudohexagonal surfaces, often in steps, perpendicular to the long crystal axis. While the detailed SXRD measurements for structural refinement were carried out on small crystals, which gave the cleanest diffraction spots, larger crystals are employed for property measurements. Several of these larger crystals (e.g., with typical dimensions of $0.15 \times 0.05 \times 1.2$ mm) were used to ascertain the growth direction of the needles. The crystals were mounted onto flat kapton holders, and the Bruker APEX II software³⁴ was used to indicate the face normals of the crystal after the unit cell and orientation matrix were determined. The largest crystals were also placed onto PXRD slides, and oriented diffraction experiments were conducted. The long axis of the needles was consistently found to be the [110] direction. The planar pseudohexagonal crystal surfaces with normals perpendicular to the growth direction are the (112) planes; these correspond to the close-packed planes in the cubic antifluorite phase transformed into the LT structure supercell Miller indices. STM studies find that the cleavage plane of Cd₃As₂ is the pseudohexagonal (112) plane found here as a welldeveloped face in the bulk crystals. Figure 4a shows a projection of the structure with the (112) plane shaded in orange. Figure 4b is a topographic STM image ($V_{\rm bias} = -250$ mV, I = 50 pA, temperature = 2 K) of the (112) cleavage plane. The nearest-neighbor spacing is found to be 4.4 ± 0.1 Å, which is consistent with the As-As spacing or Cd-Cd spacing on a (112)-type plane. Also visible in the inset of Figure 4b is the appearance of a 2 × 2 surface reconstruction, likely due to dangling bonds from the termination of the (112) plane during cleavage. Figure 4c is a projection slightly off of the [110] direction, with the corresponding lattice plane shaded in green. Figure 4d shows the unit cell axes and the growth directions of

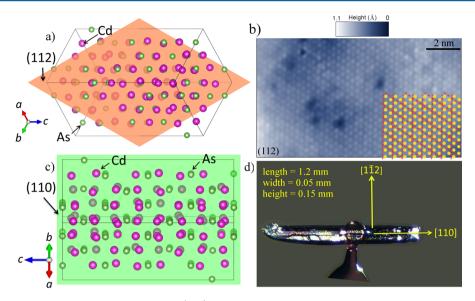


Figure 4. (a) Projection of Cd_3As_2 into the pseudohexagonal (112) plane, which is shaded in orange. This is the cleavage plane and also a developed large facet in bulk crystals. (b) Topographic STM image of the cleaved surface of a Cd_3As_2 crystal. The pseudohexagonal symmetry corresponding to the (112) plane is seen. The inset shows (orange dots) the (112) plane projection with nearest-neighbor spacing of 4.4 ± 0.1 Å, and the red dashed lines identify a 2×2 surface reconstruction. (c) Projection along the [110] direction of Cd_3As_2 with the plane normal shaded in green. This is the needle axis direction. (d) A single crystal of Cd_3As_2 with the needle growth direction and "plate" normal direction labeled ([110] and [1–12], respectively).

a large needle crystal of Cd₃As₂ that is suitable for physical property measurements.

A [110] needle direction for a tetragonal symmetry crystal is relatively uncommon.³⁵ The crystal growth conditions employed in this study were such that the majority of the slow cooling took place through the stable temperature region of the IT $P4_2/nmc$ structure. We hypothesize that the fastestgrowing direction in this phase is along the chain axis of the empty cube vertices, which is a principal axis of the structure (either the a or b axis). Thus the needle crystals have already grown before the last 50 degrees of the cooling, during which the low-temperature annealing results in the ordering of the Cd atoms that yields the $I4_1/acd$ structure. As can be seen from Figure 2, the principal axes of the $P4_2/nmc$ structure become the set of [110] directions in the $I4_1/acd$ structure. Variation of the growth temperature and conditions in future crystal growth studies may result in different types of needle axes, but the (112) close-packed plane for crystal face development and cleavage is likely to be strongly preferred.

Electronic Structure. The electronic structure calculated from the experimentally determined centrosymmetric structure found here (Table 2) is shown in Figure 5. This band structure is similar to that reported previously for the incorrect noncentrosymmetric crystal structure. 20' Crucially, however, due to the inversion symmetry present in the I4₁/acd structure, there is no spin-splitting around the Dirac point (where the bands cross between Γ and Z at $E_{\rm F}$), and all bands are at least 2fold degenerate. Thus, the centrosymmetric structure indicates that Cd₃As₂ is a 3D-Dirac semimetal with 2-fold degenerate bands that come together to a 4-fold degenerate Dirac point at the Fermi level. It is therefore the 3D analogue to graphene, where there is also no spin-splitting at the Dirac point. Furthermore, the states at the Γ point can now be characterized by their full symmetry (including their inversion eigenvalue), thus allowing parity counting to demonstrate the nontrivial topology in this ground state.

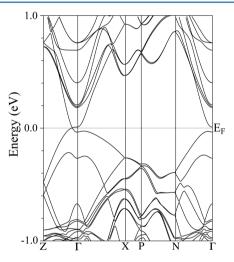


Figure 5. The calculated electronic structure of Cd_3As_2 . A symmetry-allowed crossing is observed along Γ –Z at $E_{\rm F}$, representative of the Dirac point. No spin-splitting is allowed due to the presence of inversion symmetry.

CONCLUSION

In conclusion, we report the correct crystal structure of Cd_3As_2 in the low-temperature, 3D ordered phase, as well as the corresponding electronic structure. We identify that for crystals grown as reported here, the needle growth direction is the [110] and the cleavage plane and most-developed face in the crystals is the pseudohexagonal (112) plane. Also present is a 2 \times 2 surface reconstruction of the (112) plane cleavage surface. We found that Cd_3As_2 crystallizes in the centrosymmetric $I4_1/acd$ space group, which appears to be a new structure type. The $Cd_6\square_2$ cubes order in a spiraling corkscrew fashion along an axis parallel to the *c*-axis. Each corkscrew is surrounded by corkscrews of the opposite handedness, which results in the overall structure having inversion symmetry. The previously reported model in the $I4_1cd$ space group (No. 110), where an

inversion center was omitted, can be related to this structure by rotating by 180° about the c-axis and then shifting the origin to 0, -1/4, 1/4. Since the correct centrosymmetric structural model uses only six unique atoms to describe the structure, electronic structure calculations become much more facile, which will help with the theoretical analysis of the electronic structure of this phase. In electronic structure calculations based on the centrosymmetric crystal structure, we find that the previously reported bulk band crossing along $\Gamma - Z$ at the Fermi level is maintained; however, because of the inversion symmetry, no spin splitting is allowed. Therefore Cd_3As_2 is expected to be a nonspin-split 3D-Dirac semimetal and a 3D analogue of graphene.

■ ASSOCIATED CONTENT

S Supporting Information

This includes tables of data regarding refinement and structural parameters of Cd_3As_2 , and a CIF file is included as well. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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